

Mr. Febbroriello is a Texas and Massachusetts licensed attorney with experience representing prime and subcontracting defense companies assisting them with regulations promulgated by the State Department (DDTC), Department of Defense (DCMA, PARCA), the Commerce Department (BIS) and the Bureau of Alcohol, Tobacco, Firearms and Explosives (BATFE). He regularly works with firearms and munitions companies on a variety of matters ranging from the ITAR and export compliance to general corporate matters and litigation risk management. Mr. Febbroriello has worked extensively with microcap and small cap growth companies on various United States stock exchanges and quotation systems including the NYSE, NASDAQ and OTC Markets and he has successfully closed PIPE financed RTOs and registered offerings for companies valued up to \$500,000,000. Mr. Febbroriello also represents leading investment banking institutions, placement agents and FINRA/SIPC registered broker dealers and he is a registered FINRA Arbitrator.

Mr. Febbroriello is a graduate of Hong Kong University, Suffolk University Law School, and Gettysburg College. He holds an LL.M. in Corporate Finance, a Juris Doctor, a B.A. in Psychology and he speaks and writes Mandarin Chinese. While attending law school Mr. Febbroriello clerked for the Rhode Island Business Court under the Honorable Michael J. Silverstein and studied European Union law at Lund Universitet in Sweden.

Areas of Expertise

BATFE Compliance (GCA/NFA)

FAR / DFAR Compliance

The Arms Export Control Act

International Traffic in Arms Regulation

Securities and Capital Markets

Investment Funds and Fund Formation

SEC Compliance

FINRA Compliance and Arbitration

International Transaction Structures and U.S. Treasury Compliance